

**\*\*Subject:\*\* Urgent Clarification on Case Validity**

Dear Judge Deana C. Hood,

As previously stated in my email to you via the official court contact page, further discovery will be necessary should the court continue to withhold confirmation on whether this lawsuit is legitimate or fraudulent. Without alleging any misconduct by the court, I am simply notifying you that, if I must retain legal counsel due to the lack of response regarding the documented evidence and metadata I submitted, I will also need to investigate any parties involved in attempts to harm me.

Specifically, Timothy J. Pagliara's May 25, 2025, email to my family member threatened extradition and imprisonment unless I pay \$3,000,000—an amount allegedly tied to a "Default Judgment" that, as of June 16, 2025, remains unverified. Despite previous communications with Pagliara's legal representative in 2025, no official court record substantiates this claim. Furthermore, neither Trellis nor other third-party legal tracking services indicate any valid docket entry supporting this judgment.

Pagliara and CapWealth Advisors, LLC's claims—both civil and now criminal—originate from my truthful reporting on their investment advisory business, which remains unverifiable for multiple reasons. These actions, including threats of imprisonment, should be subject to legal scrutiny. If a legitimate court case exists, I respectfully request that you immediately halt any actions taken by Pagliara, Bulso, and any court officials against me. Additionally, the court should issue a formal order preventing Pagliara, his current legal counsel, or any future representatives from contacting me or filing further lawsuits.

I seek immediate resolution, as the ongoing lack of response has resulted in severe mental distress due to the continued legal threats against me since June 20, 2024. I appreciate your prompt attention to this matter.

Daniel R. Treccia

SEC Home » [Company Search](#) » Current Page

Form 13F-HR - Quarterly report filed by institutional managers, Holdings
SEC Accession No. 0001531809-25-000002

Filing Date

2025-04-30

Period of Report

2025-03-31

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2025-04-30 08:48:48

Effectiveness Date

2025-04-30

Documents

2

Document Format Files

Seq	Description	Document	Type	Size
1		<a href="#">primary_doc.html</a>	13F-HR	
1		<a href="#">primary_doc.xml</a>	13F-HR	1941
2		<a href="#">13FQ125.html</a>	INFORMATION TABLE	
2		<a href="#">13FQ125.xml</a>	INFORMATION TABLE	50315
Complete submission text file		<a href="#">0001531809-25-000002.txt</a>		53472

CapWealth Advisors, LLC (Filer) CIK: [0001531809](#) (see all company filings)
EIN: **263565415** | State of Incorp.: **TN** | Fiscal Year End: **1231**

Type: **13F-HR** | Act: **34** | File No.: [028-14625](#) | Film No.: **25891217**

Business Address

4031 ASPEN GROVE DR  
SUITE 600  
FRANKLIN  
TN  
37067  
615-778-0740

Mailing Address

4031 ASPEN GROVE DR  
SUITE 600  
FRANKLIN  
TN  
37067

[EDGAR Filing Documents for 0001531809-25-000002](#) (pictured)  
[SEC FORM 13-F Information Table](#) (13FQ125.html)

## Market Cap Category Breakdown (% of Total Holdings by Value)

- **Mega-Cap (\$200B+ Market Cap): 38.2%**
- **Large-Cap (\$10B–\$200B Market Cap): 45.6%**
- **Mid-Cap (\$2B–\$10B Market Cap): 12.4%**
- **Small-Cap (<\$2B Market Cap): 3.8%**

## Sector Breakdown (% of Total Holdings by Value)

- **Technology: 21.5%** (Microsoft, Apple, Alphabet, Nvidia, Oracle, Palantir) •

**Consumer Discretionary: 14.2%** (Amazon, Netflix, Costco, Home Depot, Williams Sonoma)

• **Healthcare: 12.8%** (Johnson & Johnson, Merck, CVS Health, Gilead Sciences, UnitedHealth)

• **Financials: 11.6%** (Bank of America, JPMorgan Chase, Berkshire Hathaway) • **Energy: 9.3%** (Chevron, Occidental Petroleum, Devon Energy)

• **Industrials: 8.7%** (Honeywell, RTX Corp, GE Aerospace, UPS)

• **Consumer Staples: 7.4%** (PepsiCo, Procter & Gamble, Coca-Cola, Hershey) • **Utilities: 5.2%** (Duke Energy, NextEra Energy)

• **Real Estate: 3.3%** (Equinix Inc, National Health Investors REIT)

• **ETFs & Other: 6.0%** (SPDR S&P 500 ETF, Vanguard ETFs, Gold Shares)

## Top Holdings by % of Total Portfolio Value

1. **Williams Cos Inc – 6.8%**
2. **Berkshire Hathaway Inc – 6.7%**
3. **Microsoft Corp – 5.9%**
4. **Bank of America Corp – 4.8%**
5. **IBM – 4.7%**
6. **RTX Corp – 4.6%**
7. **Netflix Inc – 3.9%**
8. **Chevron Corp – 3.8%**
9. **Gilead Sciences Inc – 3.5%**
10. **Taiwan Semiconductor – 3.3%**

## SEC Disclaimer on Accuracy

The **SEC disclaimer** at the top of this **13-F filing** states:

***"The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete. The reader should not assume that the information is accurate and complete."***

This disclaimer is **not standard** in all 13-F filings and appears to be **specific to RIAs** (Registered Investment Advisors). It reinforces that the **SEC does not independently verify** the accuracy of the reported holdings.

The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete. The reader should not assume that the information is accurate and complete.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
**FORM 13F**

**FORM 13F INFORMATION TABLE**

OMB APPROVAL	
OMB Number:	3205-0006
Estimated average burden hours per response:	21.0

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	COLUMN 8		
			VALUE	SHRS OR	SH/ PUT/	INVESTMENT	OTHER	VOTING AUTHORITY	
NAME OF ISSUER	TITLE OF CLASS	CUSIP	FIGI	(In the nearest dollar)	PRN AMT	PRN CALL	DISCRETION	MANAGER	SOLE SHARED NON
AMAZON COM INC COM	Stock	023123386		16,964,533	89,365	SH	SOLE	0	88,590 0 37
JOHNSON & JOHNSON COM	Stock	478160184		24,225,533	146,878	SH	SOLE	0	145,690 0 38

What exactly is verifiable at CapWealth Advisors, LLC? Form ADV filings also warrant examination concerning claims on Item 1.O and the nondisclosure of the "total assets" per the end of year 2024 balance sheet due to CapWealth's qualification to not disclose their EOY 2024 balance sheet which Item 1.O claims the firm's 'total assets' are >\$1 Billion.

## Fannie Mae (FNMA) & Freddie Mac (FMCC) Holdings

• **Current Filing:** No holdings in **Fannie Mae (FNMA)** or **Freddie Mac (FMCC)**. •

**Historical Filings:** No record of FNMA or FMCC holdings in past 13-F filings for this firm.

• **Verification Sources:**

- **SEC Form 13-F Data Sets:** [SEC Website](#)
- **WhaleWisdom FNMA Holders List:** [WhaleWisdom](#)
- **Fannie Mae SEC Filings:** [Fannie Mae Investor Relations](#)

The **historical 13-F filings** for this firm and found no record of holdings in **Fannie Mae (FNMA)** or **Freddie Mac (FMCC) common stock**. However, CapWealth Advisors, LLC has filed 10 reports with the SEC for other holdings from Federal National Mortgage Association ("Fannie Mae") and/or Federal Home Loan Mortgage Company ("Freddie Mac") which was **first reported 2020-08-12 for Q2 2020 and has not held any reported holdings of any "Fannie Mae" or "Freddie Mac" stocks, bonds, any type of assets since 2022-05-04 ending their holdings entirely since Q1 2022.**

Form & File	Filed	Reporting for	Filing entity/person
13F-HR/A (institutional investment manager holdings report) INFORMATION TABLE	2022-05-04	2022-03-31	CapWealth Advisors, LLC
13F-HR/A (institutional investment manager holdings report) INFORMATION TABLE	2022-05-03	2022-03-31	CapWealth Advisors, LLC
13F-HR (institutional investment manager holdings report) INFORMATION TABLE	2022-02-10	2021-12-31	CapWealth Advisors, LLC
13F-HR (institutional investment manager holdings report) INFORMATION TABLE	2021-11-09	2021-09-30	CapWealth Advisors, LLC
13F-HR (institutional investment manager holdings report) INFORMATION TABLE	2021-08-10	2021-06-30	CapWealth Advisors, LLC
13F-HR (institutional investment manager holdings report) INFORMATION TABLE	2021-05-03	2021-03-31	CapWealth Advisors, LLC
13F-HR/A (institutional investment manager holdings report) INFORMATION TABLE	2021-02-17	2020-12-31	CapWealth Advisors, LLC
13F-HR (institutional investment manager holdings report) INFORMATION TABLE	2021-02-02	2020-12-31	CapWealth Advisors, LLC
13F-HR (institutional investment manager holdings report) INFORMATION TABLE	2020-11-12	2020-09-30	CapWealth Advisors, LLC
13F-HR (institutional investment manager holdings report) INFORMATION TABLE	2020-08-12	2020-06-30	CapWealth Advisors, LLC

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[https://www.sec.gov/edgar/search/?r=el#/q=Federal%2520Home&category=formcat0&ciks=0001531809&entityName=CapWealth%2520Advisors%2520C%2520LLC%2520\(CIK%2520001531809\)&sort=desc](https://www.sec.gov/edgar/search/?r=el#/q=Federal%2520Home&category=formcat0&ciks=0001531809&entityName=CapWealth%2520Advisors%2520C%2520LLC%2520(CIK%2520001531809)&sort=desc) (pictured)

***Why would CIO Timothy Jude Pagliara only have had CapWealth Advisors, LLC clients invested in GSE's "Fannie Mae" and "Freddie" starting in Q2 2020 and ending by Q1 2022?***

The SEC 13-F filing for the end of Q1 2025 COO Ryan Hitt submitted reported RIA clients of the LLC have 0% exposure in either "Fannie Mae" or "Freddie Mac" and the suspicion of expertise is also further questioned by a dispute reported in November 2021 that is still available for viewing at Sonn Law Group's website and has been on the SEC.gov and FINRA BrokerCheck websites for CapWealth's CIO and founder Timothy Jude Pagliara ("Tim Pagliara" and also "Timothy J Pagliara").

This suggests further lack of evidence that Pagliara has possible reasons for SEC examination of CapWealth Advisors, LLC reported 13-F filings as well as claims of >\$1 Billion in Proprietary Capital Holdings ("Total Assets") that the LLC claims to have on its EOY balance sheet as recent as their 2025 filed Form ADV, Item 1.0 as their balance sheet is not disclosed due to them meeting the requirements to choose not to disclose their firm's total assets via their EOY 2024 balance sheet.

CAPWEALTH ADVISORS, LLC - Investment Adviser Firm @

<https://adviserinfo.sec.gov/firm/summary/148781> > (2025-03-26): VIEW LATEST FORM ADV FILED @

<https://reports.adviserinfo.sec.gov/reports/ADV/148781/PDF/148781.pdf> (As of June 15, 2025, the latest FORM ADV by CapWealth Advisors, LLC was 2025-03-26)

## Concerns Regarding CapWealth Advisors, LLC & “Timothy J Pagliara”

- **Expertise Questioned:**

- A **dispute reported in November 2021** involving CapWealth Advisors’ **CIO and founder Timothy Jude Pagliara** ("Tim Pagliara" / "Timothy J Pagliara") remains publicly available.
- This dispute is still viewable on:
  - [Sonn Law Group’s website \(Pub. Dec 15, 2022\)](#)
  - **SEC.gov** (was as of 2024)
  - **FINRA BrokerCheck** (was as of 2024)

- **Potential SEC Examination:**

- Given the **lack of evidence** supporting Pagliara’s claims regarding proprietary holdings, the **SEC may have reasons** to examine CapWealth Advisors, LLC’s **reported 13-F filings and examine the firm’s balance sheet due to non-disclosure to prove Form ADV, Item 1.O which may falsely represent the size and success of the firm to potential clients.**
- The firm’s **claims of >\$1 Billion in assets** without a disclosed balance sheet raise **transparency concerns**. 13-F assets don’t match CIO and IA Timothy J Pagliara’s public statements on social media and traditional media on his heavy bullishness on “Fannie Mae” and “Freddie Mac” only disclosing small positions relative to client’s RIA custodied assets from Q2 2020 through Q1 2022. This type of action requires examination of claims on Form ADV filings, balance sheet examination, and even current and past reported 13-F filings by COO Ryan Hitt at least since the Q2 2020 to Q1 2022 holdings of a small amount of Fannie Mae and Freddie Mac’s stock, debt, etc.

○

- **CapWealth Advisors, LLC Disclosures:**

- Their **official disclosures** can be found on their website:
  - [CapWealth Group Disclosures](#)

## FORM ADV

### UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: CAPWEALTH ADVISORS, LLC	CRD Number: 148781
Annual Amendment - All Sections	Rev. 10/2021
3/26/2025 1:30:26 PM	

**WARNING:** Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4.

#### Item 1 Identifying Information

Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an umbrella registration, the information in Item 1 should be provided for the filing adviser only. General Instruction 5 provides information to assist you with filing an umbrella registration.

A. Your full legal name (if you are a sole proprietor, your last, first, and middle names):

CAPWEALTH ADVISORS, LLC

B. (1) Name under which you primarily conduct your advisory business, if different from Item 1.A.

CAPWEALTH ADVISORS, LLC

List on Section 1.B. of Schedule D any additional names under which you conduct your advisory business.

(2) If you are using this Form ADV to register more than one investment adviser under an umbrella registration, check this box ☐

If you check this box, complete a Schedule R for each relying adviser.

C. If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of

☐ your legal name or ☐ your primary business name:

D. (1) If you are registered with the SEC as an investment adviser, your SEC file number: **801-69908**

(2) If you report to the SEC as an exempt reporting adviser, your SEC file number:

(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:

CIK Number

1531809

**Summary of Allegations Against Timothy Jude Pagliara and Williamson County Court Officials (Potentially just Pagliara and his lawyer, but potentially Williamson County Court officials after FOIA and Tennessee Public Records Act discover as well as criminal investigations tied to both false statements in Form ADV, SEC Filings, and statements by Pagliara and Bulso (as lawyer) used against Daniel R Treccia since June 2020 in retaliatory action for questioning Form ADV Item 1.O - before he could become a "whistleblower" with potential aid from Williamson County Court officials.**

Daniel R. Treccia has been subjected to a series of alleged criminal actions by Timothy Jude Pagliara, his attorney Eugene N. Bulso Jr., and potentially Williamson County Court officials. These actions appear to stem from Treccia's scrutiny of Form ADV, particularly Item 1.O, regarding CapWealth's claimed total assets exceeding \$1 billion. Treccia raised concerns about these claims in June 2024, prompting retaliatory legal

maneuvers.

## Key Allegations

### 1. Fraudulent Default Judgment & Extortion Attempt

- a. On May 25, 2025, Pagliara emailed Treccia's family member, Ralph Treccia, demanding \$3,000,000 based on a purported default judgment dated May 16, 2025.
- b. The email threatened to extradite Daniel R. Treccia and imprison him in Tennessee for "criminal contempt" until the payment was made.
- c. The legitimacy of this judgment remains unverified, as no official record exists on Williamson County's docket or third-party court tracking websites. 2.

### Improper Service & Procedural Violations

- a. The alleged lawsuit against Treccia was filed on June 20, 2024, yet he was never properly served.
- b. Bulso, Pagliara's attorney, reportedly attempted service via an outdated email address ("[treccia@stankonia.capital](mailto:treccia@stankonia.capital)"), which Treccia no longer had access to.
- c. Any emails sent to this address would have bounced back, yet the court and plaintiff's attorney never reported such failures.
- d. Treccia's former registered agent received a FedEx package containing the complaint but was never authorized to accept service on Treccia's behalf. 3.

### Judicial & Court Clerk Misconduct

- a. Judge Deanna B. Johnson initially appeared to preside over the case, but Treccia later discovered via the third-party site "Trellis" that Judge Deana C. Hood had taken over.
- b. Despite multiple inquiries, neither judge nor court officials have confirmed the legitimacy of the case or judgment.
- c. Amy Arnold, a government employee associated with Judge Hood, acknowledged receipt of Treccia's emails but failed to provide any substantive response.

## Legal & Regulatory Actions

- Treccia has now retained legal counsel to pursue:
- **Criminal and civil penalties** against Pagliara, Bulso, and Williamson County



court officials.

- **Public records requests under the Tennessee Public Records Act** to uncover who authorized service and whether fraudulent filings were made.
- **SEC and FINRA investigations** into CapWealth's financial disclosures, particularly false statements in Form ADV.

## Conclusion

The alleged actions against Treccia appear to be retaliatory, aimed at silencing him as a whistleblower. The fraudulent default judgment and threats of imprisonment suggest an attempt to coerce payment under false pretenses. A full investigation is necessary to determine the extent of misconduct and ensure accountability.

Judge Hood's response closely aligns with the plaintiff's actions, raising serious concerns about procedural integrity. Despite clear evidence of misconduct—including backfilled documents, improper service, and threats of extradition—the court has refused to dismiss the case or confirm the legitimacy of the alleged default judgment. Additionally, court staff leaked my private email to opposing parties, enabling further harassment.

The following day, I presented proof that **Bulso** had inadvertently archived the original complaint in an **Internet Archive snapshot** from **American Lawyer's Media**, exposing his attempt to conceal the complaint he never intended to serve. My cousin, an **Illinois States Attorney**, reviewed the court docket filings and found troubling signs of **metadata tampering**, missing e-signatures from the clerk, rasterized images instead of actual signatures, and forgery designed to appear legitimate but never properly filed. These findings further indicate a deliberate effort to manipulate records and obstruct due process.

AL has shown 39 pages of violations in just a small stashed complaint that Bulso clearly was working with the court to evade me continually asking about the validity of the documents that I was allowed to see and only through improper channels - a former Registered Agent, false claims made in the complaint if serviced I would've hired a lawyer to dismiss easily, and then almost a year later a threatening email with what looks like Deana C Hood's signature signing off on a default judgement of \$3,000,000 just like the original demand in the forged complaint found stashed on ALM's password protected website by a June 22, 2024 quick snapshot.



JOSEPH A. WOODRUFF  
JUDGE, DIVISION I  
  
DEANA C. HOOD  
JUDGE, DIVISION II

**STATE OF TENNESSEE**  
**CIRCUIT JUDGES, TWENTY-FIRST JUDICIAL DISTRICT**  
WILLIAMSON COUNTY, TENNESSEE  
135 FOURTH AVENUE SOUTH • SUITES 264 & 286  
WILLIAMSON COUNTY JUDICIAL CENTER • FRANKLIN, TENNESSEE 37064  
(615) 425-4009 • Fax (615) 790-4424 • Fax (615) 790-5047

DAVID H. VEILE  
JUDGE, DIVISION II  
  
DEANNA B. JOHNSON  
JUDGE, DIVISION IV

June 16, 2025

**Via Email and U.S. Mail**

Daniel R. Treccia  
432 Lewis Road  
Geneva, IL 60134-4414  
[dtreccia@gmail.com](mailto:dtreccia@gmail.com)

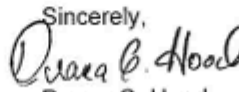
RE: **Timothy J. Pagliara v. Daniel R. Treccia**  
**Williamson Circuit No. 24CV-326**

Dear Mr. Treccia:

This acknowledges receipt of your correspondence received by the Court on June 8, 2025, June 10, 2025, June 11, 2025, June 12, 2025, June 13, 2025, June 14, 2025, June 15, 2025, June 16, 2025 and June 16, 2025 (at least 18 emails on June 16, 2025). In accordance with applicable ethical guidelines and State v. Birge, 792 S.W.2d 723, 725 (Tenn. Cr. App. 1990), I am placing this correspondence in the Clerk's file and sending copies to all parties of record.

The Court has not reviewed your email correspondence to the Judge's Assistant, nor does the Court intend to review the email correspondence. Please be advised that direct communication with this office is inappropriate and should be discontinued immediately. The Court will not give legal advice to any party or issue advisory opinions. All future correspondence, pleadings and motions should be mailed and filed with the appropriate Clerk, with a certificate of service stating that you have sent a copy to all parties or their attorney(s) in accordance with the prior Orders of this Court. There should be no direct communication with the Court.

Please cease and desist from any further direct communication to the Court.

Sincerely,  
  
Deana C. Hood  
Circuit Judge

DCH/aa

cc: Debbie Barrett, Circuit Court Clerk (via hand-delivery)  
Gino Bulso, Counsel for Mr. Pagliara (via email)

Mr. Pagliara is not even reporting client's RAUM exposure to any GSE securities in

SEC 13-F filings. The credibility of his claims to be an expert is all narrative and no provable substance. It is time to either come clean as the court should have done by now or for the full force of the law and investigation be carried out with criminal and civil complaints supported by evidence both digitally and forensically verifiable in favor of the victim, Daniel R. Treccia's true story that hasn't changed once while Bulso and the court have built a narrative of ongoing litigation that Treccia can verify as well as others under sworn notarized affidavits in other states that Daniel R Treccia never received even initial service of this and asked for it many times before being ignored for months by the court and nothing ever was serviced to him to appear or respond to any civil suit that never appeared on the docket at Williamson County Civil Court for the duration of contact between Bulso, Pagliara, the Williamson County courts officials, Judges, and worst of all - online known associates of Pagliara trying to tell the victim he would be bankrupt and lose everything and he was in the wrong despite claiming no relationship to Pagliara which turned out to be false and has been noted in archives and relayed to the AG's in 4 different states as well as the USAG office in Chicago, IL and various state and federal law enforcement as Treccia is forced to build his own complaint with a year's worth of data to organize and support. The complaint found stashed on ALM alone is 39 pages long of charges and explanation of how Bulso and the court have altered and not entered this ever into the docket for liability but intended backfilled narrative at a later date - possibly intending a criminal contempt charge granted and intent to imprison Treccia to silence him from a potential SEC Whistleblower report which is explained at the link archived below in the description as a crime in itself:

[https://archive.org/details/screenshot-21-6-2025-203055-www.amazon.com\\_202506](https://archive.org/details/screenshot-21-6-2025-203055-www.amazon.com_202506)